

Arbitration Decision Number: 2003-014556

New York Stock Exchange

In the matter of Arbitration Between:
Louise Cahill v Salomon Smith Barney

Attorneys:

For Claimant(s):
Dennis C. Reich - Houston, TX
Milton Fried - Kingwood, TX

For Respondent(s):
Stacey M. Garrett - Long Beach, CA

Date Filed: 09/24/2003 **First Scheduled:** 06/21/2004 **Decided:** 07/2

Case Summary: Claimant alleges breach of fiduciary duty, negligence, conflicts of interest, uns failure to supervise.

Product: Equity **Market:** NYSE

Claim Data

	Claim
Claim:	\$132,500.00
Punitive:	\$0.00
Atty Fees:	\$7,187.00
Deposit:	\$750.00

Award Data

	Award
Award:	\$35,172.00
Punitive:	\$0.00
Atty Fees:	\$0.00
Costs:	\$0.00

Forum Fees: \$4,500.00

Case: Louise Cahill v Salomon Smith Barney
Docket: 2003-014556

Decision: The undersigned arbitrator(s) have decided and determined that in full and final settle between the parties that:

1. Respondent shall pay Claimant \$35,172.
2. Forum fees of \$4,500 are equally assessed. Claima \$2,250.00

Remarks:

The undersigned arbitrators hereby affirm that they have executed this instrument which i

Arbitrators: (D=Dissents)

Bernard L. Krasnow
John T. Lorick
Luther D. Prater

Signatures:

City: Los Angeles

State: CA

Date: 07/29/2004

Docket#: 2003-014

Sessions: 6

Hearing Dates:

07/27/2004(2)

07/28/2004(2)

07/29/2004(2)