

NYSE
New York Stock Exchange
In the Matter of Arbitration Between

Case: Donald R. McFarland v. Edward D. Jones & Co.,
 Docket Number: 2004-015146

Attorneys:
For Claimant(s):
 A. Daniel Woska - Oklahoma City, OK

For Respondent(s):
 Kimber L. Monroe - St. Louis, MO

Date Filed: 3/5/2004

First Scheduled: 03/15/2005

Decided: 4/29/05

Case Summary:

Claimant, customer, alleges breach of fiduciary of duty, failure to supervise, breach of contract, violation of the Know Your Customer rule, violation of SRO Rules, unauthorized trading, intentional and negligent misrepresentation, unsuitable investments, and violations of state securities statutes in connection with equity investments

Product: Equity

Market: NASD

Claim Data:

Claim: \$400,000.00
 Punitive: UNSPECIFIED
 Atty Fees: UNSPECIFIED
 Deposit: \$750.00

Award Data:

Award: \$154,698.86
 Punitive: \$0.00
 Atty Fees: \$69,614.99
 Costs: \$12,786.26

Forum Fees: \$5,400.00

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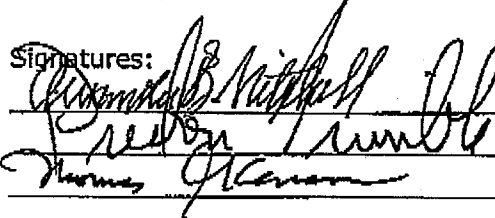
Decision: The undersigned arbitrator(s) have decided and determined that in full and final settlement of all claims between the parties that:

1. Respondent Edward D. Jones & Co. ("Respondent") shall pay to Claimant Donald R. McFarland ("Claimant") \$154,698.86 as an award on the Statement of Claim.
 2. In addition, Respondent shall pay to Claimant \$69,614.49 as attorneys' fees pursuant to 71 O.S. 408B 3.
 3. In addition, Respondent shall to Claimant costs of \$12,786.16
 4. NYSE forum fees of \$5,400.00 are assessed against Respondent.
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Remarks: Post Hearing briefs filed April 4, 2005 and April 18, 2005.

The undersigned arbitrators hereby affirm that they have executed this instrument which is their award:

Arbitrators: (D = Dissents)
 Alexander B. Mitchell
 Preston A. Trimble
 Thomas J. Kenan

Signatures:


City, State: Oklahoma City, OK

Date: 4/29/05

Sessions: 6
 Hearing Dates:
 03/15/2005, (2)
 03/16/2005, (2)
 03/17/2005, (2)